

Department of Health and Human Services (DHHS)  
Substance Abuse & Mental Health Services Administration (SAMHSA)  
Center for Substance Abuse Prevention (CSAP)



## Strategic Prevention Framework

September 24, 2004

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*Director, CSAP*



U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Substance Abuse and Mental Health Services Administration  
Center for Substance Abuse Prevention  
[www.samhsa.gov](http://www.samhsa.gov)

# SAMHSAs' Strategic Plan

## VISION

A Life in the Community for Everyone

## MISSION

Building Resilience and  
Facilitating Recovery

## ACCOUNTABILITY

Measure and report  
program performance

- Track national trends
- Establish measurements and reporting systems
- Develop and promote standards to monitor service systems
- Achieve excellence in management practices

## CAPACITY

Increase service  
availability

- Assess resources and needs
- Support service expansion
- Improve services organization and financing
- Recruit, educate, and retain workforce
- Create interlocking systems of care
- Promote appropriate assessment and referral

## EFFECTIVENESS

Improve service  
quality

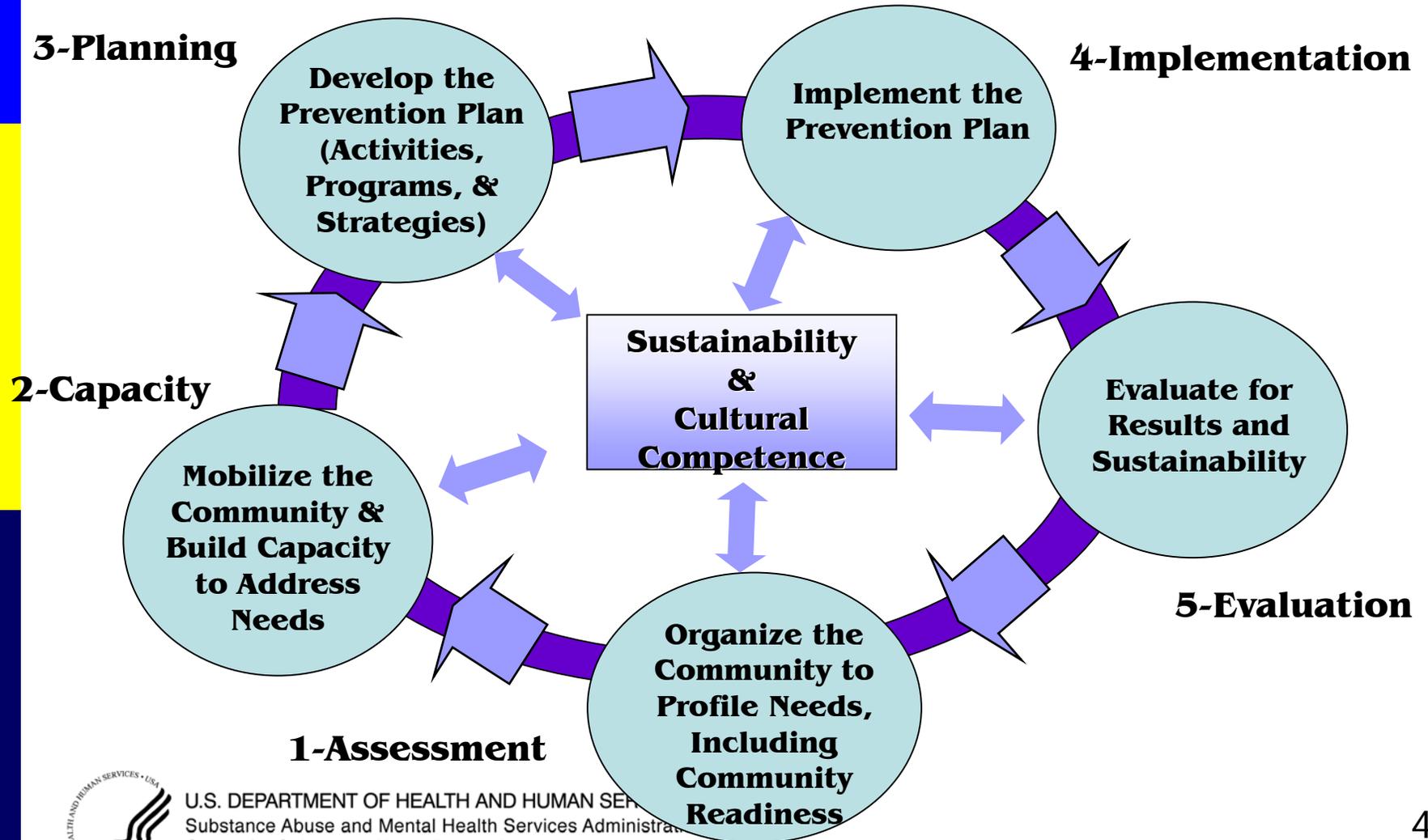
- Assess service delivery practices
- Identify and promote evidence-based approaches
- Implement and evaluate innovative services
- Provide workforce training and education





# The Strategic Prevention Framework

*Supports any Prevention Planning Process*



# The Strategic Prevention Framework

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- ❑ **Prevention is a Continuum** – Prevention extends from deterring diseases and behaviors that contribute to them to slowing the onset and severity of illnesses when they do arise.
- ❑ **Prevention is Prevention is Prevention** – The mechanisms of prevention are the same whether the target is on changing social, environmental or biological factors for many diseases.
- ❑ **Successful Prevention Decreases Risk Factors and Enhances Protective Factors** – The same risk factors affect many health issues – from depression and substance use to heart disease and diabetes. Other factors can protect against these health problems. The goal: to reduce risk factors and enhance protective factors that can compromise health.
- ❑ **Prevention Requires Adoption of Known Effective Prevention Practices Within a Framework That Works** – Research and experience have produced highly effective prevention programs to reduce risk factors and promote protective factors.
- ❑ **Systems of Prevention Services Work Better Than Service Silos** – The best prevention results from partnerships; without collaboration, even the best prevention efforts will not leverage collective resources and can miss achieving their potential.
- ❑ **Common Data Sets Across Service Systems Can Help Assess Prevention Efficacy and Promote Accountability** – Solid evaluation can help assess programs effectiveness and the value of engagement across service systems.
- ❑ **Recognizes the importance of States and communities-** Coordinating funding and developing infrastructure.
- ❑ **Comprehensively address Substance Abuse** - Through multiple strategies across multiple sectors with both the public and private sector resources.



# The Strategic Prevention Framework State Incentive Grant (SPF SIG)

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- Awarded to Governors (*Governors are strongly encouraged to delegate the program to their Single State Agencies*).
- Fund Amount:
  - \$45,000,000 in FY2004;
  - Grants of up to \$3 million per year for 5 years.
- Fund Distribution:
  - 85% of funds to Communities
  - 15% of funds for State administration.
- A small number of awards will be made for FY 2005 from the initial RFA.



# The SPF SIG (Cont.)

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- ❑ **SPF SIG Implements SAMHSA's Strategic Prevention Framework to:**
  - Prevent onset and reduce progression of substance abuse;
  - Reduce substance abuse problems in communities;
  - Build State and community prevention capacity and infrastructure; and
  - Encourage and require the use of Epidemiological Workgroups at the State and Community level.
  - Places an emphasis on Underage Drinking



# SPF SIG

## Underage Drinking Component

- ❑ DHHS commitment thru SAMHSA to bring down underage drinking rates;
- ❑ Target of close to \$30 million in FY2004;
- ❑ States are required to include underage drinking in their SPF SIG project; and
- ❑ Lead agency for underage drinking is required to be part of SPF SIG Advisory Council.



# SAMHSA

# CAPACITY BUILDING TOOLS



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# SAMHSA's Rapid Testing Initiative

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- SAMHSA/CDC collaborative
- **Goal:** To leverage federal resources to incorporate rapid testing as a strategic intervention to identify persons who are HIV+.
- **Outcomes:**
  - Increase number of individuals at risk for HIV/AIDS transmission due to substance abuse and/or mental health disorders who are screened, tested, and referred to quality and sustainable treatment, counseling, and other support services.
  - Reduce percentage of new HIV/AIDS cases related to injection drug use (IDU).
  - Reduce percentage of new HIV/AIDS cases related to injection drug use (IDU) among people of color.



# National Community Anti- Drug Coalition Institute

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- ❑ Collaboration between ONDCP, OJJDP, CADCA, and SAMHSA/CSAP;
- ❑ Provides Training and Technical Assistance to Community Anti-Drug Coalitions;
- ❑ Sponsors the mentoring of developing coalitions through the coalition “Greenhouse” program;
- ❑ Provides evaluation tools to assess coalition performance and guidance on how to translate research to practice;
- ❑ Offers training and technical assistance on a number of topics including: Coalition Development & Sustainability, Strategic Planning, Evaluation, Substance Abuse Prevention, and Faith-Based Initiatives;
  - Responded to 1665 technical assistance requests from coalitions; and
  - Awarded over 250 scholarships for Coalition training at national and regional conferences.



# National Registry of Effective Programs and Practices (NREPP)

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- SAMHSA's new and improved NREPP:
  - Will establish review criteria for the identification and rating of strategies, programs, policies, and activities; and
  - Will expand to include community coalitions, which will be rated by criteria that will be developed by a panel of leading researchers, evaluators and coalition experts.



# Prevention Technology Platform

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- ❑ Web-based Application To Help Communities:
  - Assess their needs, readiness and resources;
  - Plan strategically to develop infrastructure and sustainability;
  - Select & implement evidence-based prevention approaches; and
  - Conduct evaluations measuring progress, impact, & outcomes.
- ❑ Regularly updated database of on-line training curricula;
- ❑ A readiness roadmap and user-paths customized to individual responses;
- ❑ Geo-mapping resources
- ❑ Access to national databases; and
- ❑ Access to SAMHSA's National Outcome Measures.



# American Indian/Alaskan Native National Resource Center (AI/AN NRC)

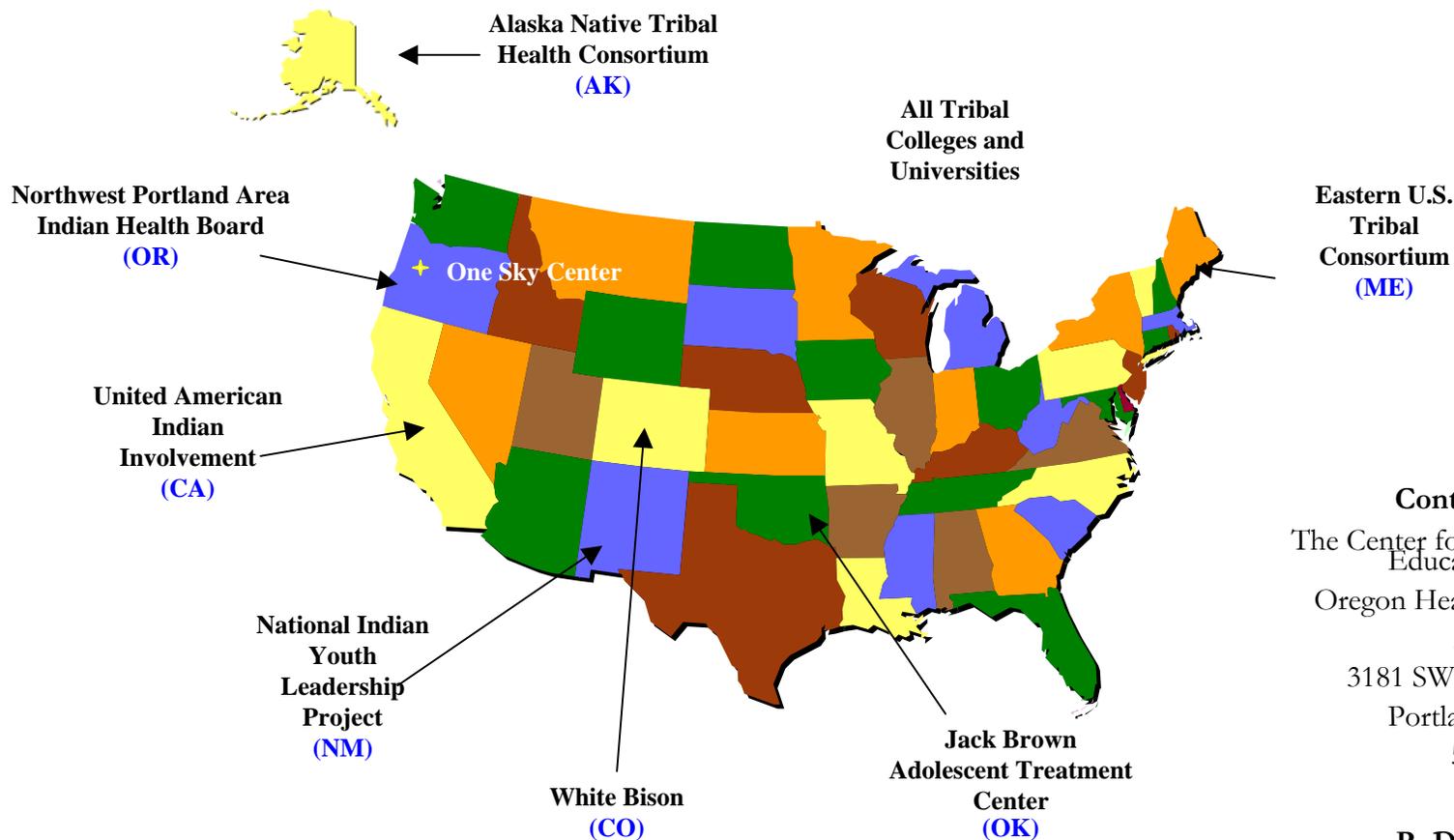
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- ❑ Collects, evaluates, and disseminates data on effective prevention and treatment programs for American Indians and Alaska Natives
- ❑ Compiles a centralized clearinghouse of existing substance abuse prevention and treatment, and HIV prevention projects in American Indian and Alaska Native communities
- ❑ Provides AI/AN Customers with information on existing SAMHSA projects in their region; and
- ❑ Supports training and technical assistance for AI/AN customers.



# AI/AN NRC - *One Sky Center*

- ✦ **First National Resource Center dedicated to improving SA prevention and treatment services for American Indian/Alaskan Native populations.**



### Contact Information:

The Center for American Indian Health,  
Education and Research  
Oregon Health & Science University  
m/c GH 151  
3181 SW Sam Jackson Park Rd  
Portland, Oregon 97239  
503-494-8112

**R. Dale Walker, M.D**  
Project Director  
email: [walkerrd@ohsu.edu](mailto:walkerrd@ohsu.edu)



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# Centers for the Application of Prevention Technologies

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- Developed interagency agreements enabling the CAPTs to:
  - Provide state-of-the-science technical assistance to the grantees of the U.S. Department of Education's Grantees to Reduce Alcohol Abuse (GRAA), and the Department of Justice's Weed and Seed grantees.
  - Hold two National TA conferences for the GRAA grantees with training on needs assessment, strategic planning and capacity development, coalition building, prevention program implementation with fidelity, formative and summative evaluation, and reporting of outcomes; and
  
- Supported Cross-CAPT collaboration through CSAP lead workgroups on:
  - Evaluation
  - Publications Planning
  - Service to Science
  - National CAPT Website
  
- Provide training on the implications of evaluating, measuring and documenting outcomes to successfully meet NREPP criteria, or simply to build and strengthen documented evidence of effectiveness



# Centers for the Application of Prevention Technologies (CAPTs)

*Provide State-of-the-Science Training & Technical*

<b>CENTRAL CAPT</b>	<b>Minnesota Institute of Public Health (MIPH) Mounds View</b>	<b>1-800-782-1878</b>
<b>NORTHEAST CAPT</b>	<b>Education Development Center, Inc. (EDC) Newton, MA</b>	<b>1-888-332-2278</b>
<b>WESTERN CAPT</b>	<b>University of Nevada Reno , NV</b>	<b>1-888-734-7476</b>
<b>SOUTHEAST CAPT</b>	<b>Pacific Institute for Research and Evaluation (PIRE) Ridgeland, MS</b>	<b>1-866-973-2278</b>
<b>SOUTHWEST CAPT</b>	<b>University of Oklahoma Norman, OK</b>	<b>1-800-853-2572</b>

# Fetal Alcohol Spectrum Disorders (FASD) Center for Excellence

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- The FASD Center For Excellence builds FASD State systems through:
  - Town hall meetings
  - Training and technical assistance
  - Women in recovery summit
  - Birth mothers video
  - Summer family conferences
  - Materials/ resources for SA treatment systems
  - Public education materials for general audiences
  - Data analysis of SAMHSA's national survey on drug use and health (NSDUH)
  - Inventory of prevention and treatment programs
  - State system meetings
  - Web site: [www.fascenter.samhsa.gov](http://www.fascenter.samhsa.gov)



# Cultural Competency Logic Model

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The SAMHSA/CSAP Cultural Competency Logic Model is:

- ❑ Designed as a companion to and integral component of the SAMHSA Strategic Prevention Framework
- ❑ A generic Logic Model for development and delivery of culturally competent and proficient Prevention Services;
- ❑ Under development by CSAP and a workgroup comprised of African American, American Indian/Alaska Native, Hispanic/Latino, Asian American, and Native Hawaiian/Pacific Islander representation;
- ❑ Used by agencies and/or individuals developing and delivering substance abuse prevention services to specific populations;
- ❑ Designed as a companion to and integral component of the SAMHSA Strategic Prevention Framework



# Too Smart to Start

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The Too Smart to Start Public Awareness Campaign:

- ❑ Provides research-based strategies and materials to professionals and volunteers at the community level;
- ❑ Was pilot tested by 10 lead agencies who tailored the Too Smart To Start initiative to their local communities; and
- ❑ Is supported by a broad network of National prevention partners, including the:
  - ❑ *American Medical Association (AMA)*
  - ❑ *Community Anti-Drug Coalitions of America (CADCA)*
  - ❑ *Mothers Against Drunk Driving (MADD)*
  - ❑ *National Association of State Alcohol and Drug Abuse Directors/National Prevention Network (NASADAD/NPN)*
  - ❑ *National Council on Alcoholism and Drug Dependence, Inc. (NCADD)*
  - ❑ *National Family Partnership (NFP)*



# SAMHSA's National Clearinghouse on Alcohol and Drug Information (NCADI)

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- ❑ Provides access to the Largest Drug and Alcohol Information repository in the World with over 80,000 journals, newspapers, magazines, and other resources;
- ❑ Has recently expanded to include Mental Health Promotion materials; and
- ❑ Provides most resources free of charge.
- ❑ Website: [store.health.org/catalog/SC\\_Itemlist.aspx](http://store.health.org/catalog/SC_Itemlist.aspx)



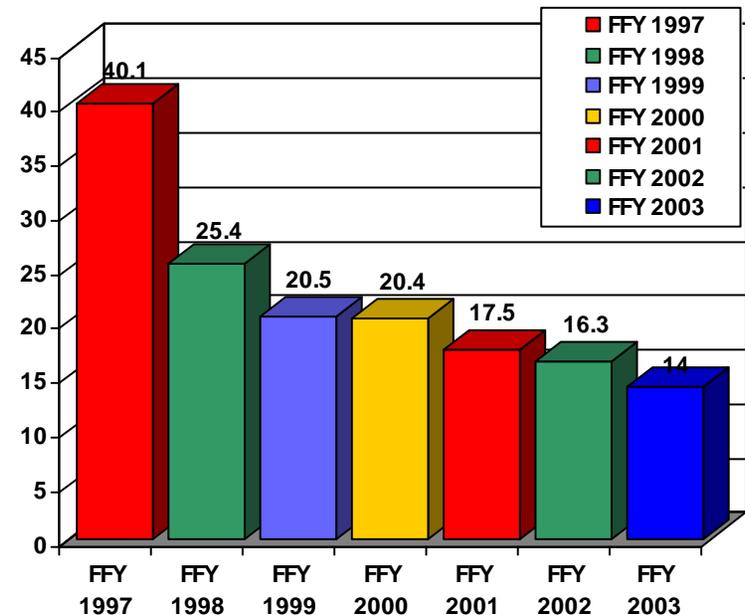
# SYNAR

Through the SYNAR program SAMHSA/CSAP provides:

- Technical Assistance to the States;
- State SYNAR Program System Assessments;
- Guidance documents;
- Database of State prevention profiles;
- Annual SYNAR Workshops;
- TA Workshops to enhance State enforcement efforts through Technology; and
- TA workshops to assist States with the new SYNAR Survey Estimation System.

## Average Retailer Violation Rates for FFY 1997 through FFY 2003

Rates represent an average of all States' retailer violation rates weighted by their percentage of the total population.



# SAMHSA

# Collaboration & Partnerships



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# DRUG-FREE COMMUNITIES ACT

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The Drug-Free Communities Act (Pub. L. No. 105-20) was signed into law on June 27, 1997. On December 14, 2001, Public Law 107-82, 115 Stat. 814 (2001), reauthorized the program for 5 years.

The **goals** of the program are to:

- ❑ **Reduce substance abuse among youth and, over time, among adults by addressing the factors in a community that increase the risk of substance abuse and promoting the factors that minimize the risk of substance abuse.**
- ❑ **Establish and strengthen collaboration among communities, private nonprofit agencies, and federal, state, local and tribal governments to support the efforts of community coalitions to prevent and reduce substance abuse among youth.**

Awards to be announced on September 27, 2004 are:

226 DFCSP New Awards

487 DFCSP Continuation Awards

19 Coalition Mentoring Awards



# National Institute on Drug Abuse

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NIDA has partnered with SAMHSA/CSAP to:

- ❑ Support the implementation and evaluation of the Strategic Prevention Framework;
- ❑ Provide \$15 Million for the SPF National Cross-site Evaluation;
- ❑ Ensure quality evaluation design and technical assistance; and
- ❑ Support the collection of epidemiological data for comparison groups.



# Department of Justice, Office of Justice Programs

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- SAMHSA/CSAP developed an Interagency Agreement to support the:
  - Power of Prevention regional conferences in Dallas and Indianapolis;
  - Weed & Seed Law Enforcement Coordination Training Workshop; and
  - Implementation of SAMHSA's Strategic Prevention Framework.



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# Department of Justice

## Office of Justice Programs

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# DRUG ENFORCEMENT AGENCY (DEA) & NATIONAL GUARD

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**SAMHSA/CSAP's DEA Partnership includes:**

- A CSAP/DEA Memorandum of Understanding for:
  - Collaboration on publications of mutual benefit
  - Shared use of NCADI
  - DEA representation on SIG Boards and RADAR Board
  - CSAP co-sponsorship of the DEA Traveling Museum exhibit in New York, NY
- CSAP/DEA collaboration to maximize the impact of prevention activities.



# NATIONAL GUARD (Cont.)

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A CSAP/National Guard Memorandum of understanding for:

- ❑ Collaboration on publications
- ❑ Shared use of NCADI
- ❑ State Drug Demand Reduction (DDR) representation on SIG and RADAR Board
- ❑ Sponsorship of Satellite Broadcast



# PREVENTION PARTNER LIST

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- SAMHSA/Center for Substance Abuse Prevention
- DOJ/Executive Office of Weed and Seed
- DOJ/Office of Juvenile Justice Delinquency Prevention
- DOJ/Bureau of Justice Assistance
- Drug Enforcement Administration
- SAMHSA/Center for Substance Abuse Treatment
- Department of Housing and Urban Development
- Department of Labor
- Department of Education
- White House Office of National Drug Control Policy
- National Crime Prevention Council
- PRIDE Youth Programs
- Teen Challenge International, Inc.
- White Bison, Inc.
- National Association for Drug Court Professionals
- National Guard
- Community Anti-Drug Coalitions of America
- National Association of State Alcohol and Drug Abuse Directors
- National Prevention Network
- National Treatment Network
- Child Welfare League of America
- National Family Partnership
- National Corporation for Community Services
- Join Together
- Leadership to Keep Children Alcohol Free
- Robert Wood Johnson Foundation
- National Association for Children of Alcoholics
- National Faith Works Alliance
- Prevention Partners: Grantee Ad-Hoc Group



# SUCCESSFUL OUTCOMES

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- ❑ Designation as the sole Federal organization with responsibility for improving accessibility and quality of substance abuse prevention services
- ❑ Partnership with 32 federal and national Prevention Partners
- ❑ Increase of CSAP base funds by \$50 million dollars
- ❑ Realignment of CSAP to support the Strategic Prevention Framework resulting in a 37 point increase in CSAP's PART score
- ❑ Award of the Drug Free Communities program (700 community coalitions - \$70 million dollar program) to SAMHSA



# SUBSTANCE ABUSE AND MENTAL HEALTH SERVICE ADMINISTRATION (SAMHSA)

[www.samhsa.gov](http://www.samhsa.gov)

**Grant Opportunities, Website links, Publications**

**1-800-729-6686**

**1-800-487-4889 (TDD)**



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